

Individual Self-Certification Form for tax purposes

Account Title

Trading Account No.

CDC Sub Account No.

The Common Reporting Standard, Chapter XII A of the Income Tax Rules, 2002, require Habib Metropolitan Financial Services Limited (HMFS) to collect certain information about each account holder's tax residency status.

This form is designed to capture the residency for tax purposes of the person entitled to the income and assets associated with an account.

Please complete, where applicable, the relevant sections below and provide any additional information as may be required. If you have any questions about how to complete this form, please contact your tax advisor.

If you are a US Person for tax purposes under US Internal Revenue Service ('IRS') regulations, you are required to additionally provide a completed, signed and dated **IRS Form W-9**.

Do not use this form if you are an entity. Instead you should complete and provide the appropriate '**Entity Self-Certification Form for tax purposes**'.

Part 1 - Client Identification

Account Holder (please complete a separate form for each Account Holder)

Last Name

First Name

Permanent residence address: Street / No.

Phone no.

ZIP code/Town

Date of birth (dd.mm.yyyy)

Country of residence

Nationality¹

Place of birth (Country and City or Town)

Beneficial Owner (only complete if different from the Account Holder and complete a separate form for each Beneficial Owner)

Last Name

First Name

Permanent residence address: Street / No.

Phone no.

ZIP code/Town

Date of birth (dd.mm.yyyy)

Country of residence

Nationality¹

Place of birth (Country and City or Town)

Place, Date

Account Holder's Signature

¹ List all nationalities, if more than one.

Part 2 - Tax Residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Identification Numbers.

If a TIN is unavailable, please provide the appropriate reason A, B or C:

Reason A - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

Reason B - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

For the purposes of taxation, I am tax resident in the following countries² and my Tax Identification Number (TIN) in each country is set out below or I have indicated that a TIN is unavailable:

Account Holder

Country 1	TIN
Country 2	TIN
Country 3	TIN

If a TIN is unavailable, please indicate the reason here (reason A, B or C; if reason B, then please explain why):

Beneficial Owner, if applicable

Country 1	TIN
Country 2	TIN
Country 3	TIN

If a TIN is unavailable, please indicate the reason here (reason A, B or C; if reason B, then please explain why):

Place, Date

Account Holder's Signature

¹ List all nationalities, if more than one.

² Generally, an individual is resident for tax purposes in a country if, under the laws of that country (including tax conventions), he/she pays or should be paying tax in that country by reason of his/her domicile, residence, or any other criterion of a similar nature (i.e. full tax liability), and not only from sources in that country. Dual resident individuals may rely on the tiebreaker rules stipulated in tax conventions (if applicable) for determining their residence for tax purposes. Your tax residence usually matches with your principal residence. For instance, a holiday apartment generally does not trigger unlimited tax liability and accordingly does not lead to a tax residence in that country.

Part 3 - Declarations and Undertakings

I understand and acknowledge that the HMFS may provide, directly or indirectly, to any relevant tax authorities or any party authorized to audit or conduct a similar control of the HMFS for tax purposes, a copy of this form and may disclose to such tax authorities or such party any additional information that the HMFS may have in its possession. I further acknowledge that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which I am a resident for tax purposes pursuant to and in accordance with the relevant tax regulations.

I understand and acknowledge that the HMFS may provide, directly or indirectly, a copy of this form and information regarding income paid or credited to or for the benefit of the account(s) set out above to: (i) any person that has control, receipt, or custody of income to which this form relates; (ii) any person that can disburse or make payments of income to which this form relates; or (iii) any party authorized to audit or conduct a similar control of aforementioned persons for tax purposes.

I certify that I am the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner of an account held at the HMFS.

I confirm that all the assets deposited with the HMFS under the above indicated relationship are fully declared and subject to regular income / wealth taxation in accordance with the relevant tax regulations and that any and all transactions, in which the HMFS is to provide brokerage services, are effected for legitimate reasons and do not form or intend to form part of a scheme or an arrangement for which the main purpose, or one of the purposes, is the illegal avoidance of tax liability in the relevant tax jurisdiction(s).

I declare that all statements made in this Declaration are, to the best of my knowledge and belief, correct and complete and undertake to submit a new form within 30 days if any certification on this form becomes incorrect. Further, I acknowledge that reporting and/or disclosure consequences may occur, if I fail to comply with my obligations to submit the necessary forms and/or documentation following a change in circumstances.

Place, Date

Account Holder's Signature