

## Self-certification of 'U.S. Person' Status

Account Title

Account No.

The Foreign Account Tax Compliance Act (FATCA) was signed into U.S. law on March 18, 2010. It is aimed at preventing U.S. taxpayers from using accounts held outside of the U.S. to evade taxes. Any financial institution that fails to comply with FATCA will face a 30% withholding tax on a wide range of U.S. sourced payments to its clients.

Under U.S. federal tax law, Habib Metropolitan Financial Services Limited is required to request certain taxpayer information from Owners/Controlling Persons (whether they are U.S. taxpayers or not). Information collected will be used solely to fulfill the HMFSL's requirements under FATCA and will not be used for other purpose.

### Owner/Controlling Person <sup>2</sup>

Name

First Name

Address of domicile: Street / No.

ZIP code/Town

Date of birth (DD-MM-YYYY)

Country of residence

Nationality<sup>3</sup>

Country of birth

Taxpayer Identification Number (if any)

(hereinafter referred to as the Undersigned)

### 'Non-U.S. Person' or 'U.S. Person'

The Undersigned hereby makes and confirms the following declarations to the HMFS:

#### 1. Declaration of Status (individuals)

With regard to the above relationship, please check the appropriate box:

a) Are you a U.S. citizen? (sole or dual citizenship)  Yes  No

b) Are you a 'U.S. resident alien'?  Yes  No

(lawful permanent resident, e.g., 'green card' holder, or anyone who meets the 'substantial physical presence' test<sup>4</sup>)

c) Were you born in the United States or a U.S. territory?  Yes  No

If you were born in the United States or a U.S. territory but nonetheless believe that you are not a 'U.S. Person' under U.S. tax principles, please submit a copy of your Certificate of Loss of Nationality, together with a W-8Ben form and a copy of your non-US passport.

<sup>1</sup> To be kept on file internally with the HMFS only

<sup>2</sup> A Controlling Person is a natural person who exercises control over an entity. An Owner is a person that has direct or indirect ownership, equity, debt interest or other financial interest in an entity.

<sup>3</sup> List all nationalities, if more than one.

<sup>4</sup> physical presence in the United States on at least 31 days during the current year, and 183 days during the 3 year period that includes the current year and the 2 years immediately before. For details in terms of how to count days for these purposes, please consult the IRS website: <http://www.irs.gov/taxtopics/tc851.html>

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**d) Are you a 'U.S. Person' under U.S. tax principles for any other reason?**  Yes  No

(e.g., dual residency, spouse filing jointly, long-term permanent residency in the U.S., others)

Please state the reason:

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**2. Required documentation**

If any of the questions above is answered with yes, the Habib Metropolitan Financial Services Limited will request you to immediately complete the IRS W-9 Form confirming the US Tax status. The Undersigned hereby consents that in such a case, relevant account and/or personal information is released to the US Tax authorities.

**3. Change of Circumstances in Status as Non-U.S. Person**

For the duration of the contractual relationship with the HMFSL, the Undersigned undertakes to notify the HMFSL, at his/her own initiative and within 30 days, if his/her status as a Non-U.S. Person changes to the status of a U.S. Person under U.S. tax principles.

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Place, date

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Signature