Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-NOV-20** 

of Mk. Habib Metropolitan Financial Services Lt

Submission Date 15-DEC-2020 20:02:22

Pack Rugee         Adjustments         Value           1         Assets         148.008         148.008         148.008           12         Intropic A Section         36.088         36.088         36.088           13         Increment north Statutins         90.001420         90.001420         90.0014           14         Increment north Statutins         90.001420         90.0014         90.0014           15         Increment North Statutins         90.001420         90.0014         90.0014           15         Increment North Statuting, The Case of Instant Grant J Agrees.         1         <				Page 1	Of 3
11     Progectry & Englight Acts     94.988     1.448.888       12     mounting the Cort. Socurities     96.981.482     99.981.4       13     movempet the Cort. Socurities     99.981.4     99.981.4       14     Incosting the Cort. Socurities     99.981.4     99.981.4       15     Incosting the Indicate Socurities     99.981.4     99.981.4       14     Incosting the Indicate Socurities     99.981.4     99.981.4       15     Incosting the Indicate Socurities     99.981.4     99.981.4       16     10.91.01     Incosting the Indicate Socurities     99.981.4       17     Incosting the Indicate Society Socurities     99.981.4     99.981.4       18     10.91.01     Indicate Society Socurities     99.981.4       19     Indicate Society	S.No.	Head of Account			Net Adjusted Value
Important         35.68         35.68           1.3         monitorin floor Sociation         99.81.49		Assets			
1.3     Investment in Get/Securities     99.981.482     99.981.482       1.4     Investment in Debt/Securities     99.981.482       1.5     Investment in Debt/Securities     99.981.482       1.6     Investment in Debt/Securities     99.981.482       1.7     Investmen					
14.       Investment in Deck. Securities         12.56 of the balance cheet value in the case of serve forme from 3 year.					99 961 492
1.5% of the balance abert value in the case of tenue upon 1-3 years.         Image: Comparison of the balance abert value, in the case of tenue upon 1-3 years.           1.1% of the balance abert value, in the case of tenue upon 1-3 years.         Image: Comparison of the balance abert value, in the case of tenue upon 1-3 years.           1.1% of the balance abert value, in the case of tenue upon 1-3 years.         Image: Comparison of tenue upon 1-3 years.           1.1% of the balance abert value, in the case of tenue of ten			33,301,432	33,301,432	33,301,432
II. 25% of the balance sheet value, in the case of ferrar 6 mon 1-3 years.         II. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of the test of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of the test of ferrar 6 mone than 3 years.         III. 15% of the balance sheet value, in the case of the test of the securities becaen value of the test of test of test of test of test of test of the test of the test of tes		If listed than:			
III. 1986. of the balance sheet value, in the case of tenue of more than 3 years.         III. 1986. of the balance sheet value, in the case of tenue of the stand 3 years.           III. 1986. of the balance sheet value, in the case of tenue of tenue of more than 3 years.         III. 1986. of the balance sheet value, in the case of tenue of more than 3 years.           III. 1986. of the balance sheet value, in the case of tenue of more than 3 years.         III. 1986. III. IIII. 1986. IIIII. 1986. IIIII. 1986. IIIII. 1986. IIIIII. 1986. IIIIIIIII. 1986. IIIIIIIIII. 1986. IIIIIIIIIII. 1986. IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
L10% of the balance sheet value, in the case of terms of nor 1-3 year.		iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
II.1255 of The Dalance sheet value, in the Case of tenue from 1-3 yeas.         II.1255 of The Dalance sheet value, in the case of tenue from then 3 yeas.           II.15         Investment in Ciguity Securities on the cutoff date as computed by the Securities Exchange for 22.601,637         4,869,362         177,42.2           II.15 in the date of each securities on the cutoff date as computed by the Securities Exchange for 22.601,637         4,869,362         177,42.2           II.15 in the date of each securities on the cutoff date as computed by the Securities Exchange for 22.601,637         4,869,362         177,42.2           II.15 units of the Salance shale as patient in IPOMPT for Sale. Amount patid as subscription money growting thaver shale not be applied to value of the securities which are patient in the securities shale a patient in the securities shale a patient in the securities shale as patient in the securities which are patient in the securities shale a patient in the securities shale a patient is shale as control 2, 2017         10           II.15 in the 22 No V& 07 each securities an companies than such cases, the halcut as provided in the securities shale apatient is shale as control 2, 2017         11         11           II.15 in the 22 No V& 07 each securities and end the securities fact many of the securities is shale apatient in the securities is shale as control 2, 2017         11         11           II.15 in the 22 No V& 07 each securities and other securities is the securities is shale as the securities is shale as control 2, 2017         11					
II. 15% of the balance sheet value, in the case of force than 3 yeax.         II. Investment in Equip Social S					
If Ibid 19% or Wild of each securities on the cutoff date as computed by the Securities Exchange for         22,001,837         4,860,362         17,742.2           respective securities whichever is higher.         If unlisted, 00% of carring value.         If unlisted, 00% of carring value value.         If unlisted, 00% of carring value.         If unlisted, 00% of red value.         If 0.000         If 0.000 <td>- 15</td> <td>iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.</td> <td></td> <td></td> <td></td>	- 15	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
is grading under the which even is higher.         is description money against investment in PObfer for Sale: Amount paid as subscription money grading transmiss of accurities bake.         is description money against investment in PObfer for Sale: Amount paid as subscription money grading transmiss of accurities bake.           is discription money against investment in PObfer for Sale: Amount paid as subscription money grading transmission accurities bake.         is discription money against investment in Pobfer for Sale: Amount paid as ubscription money grading transmission accurities bakes.           in a on Block Relation table of adaption of Bake Against table of Bake Against table in Gaw of Bake Against the Bake Internation accurities bakes.         is discription money against investment in Societties Witch are Phedge in favor of Bake Against Samden for messacet of messment in socie cases, the hacturat & prodeded in paid and the Bake Bake Bake Mark Margin Financing requirements or piedged in favor of Bake Against associated companies/submediating and the sociates bake Bake Bake Mark Margin Financing requirements or piedged in favor of Bake Against Against Bake Bake Bake Mark Margin Financing requirements or piedged in favor of Bake Bake Bake Mark Bake Bake Bake Bake Bake Bake Bake Bak	1.5		22 601 627	4 850 352	17 742 295
III Subscription money against Investment In IPOOFFOr Siale. Amount paid as subscription money			22,001,037	4,009,002	17,742,203
poolded that shares have not been alloted or are not included in the investments of securities boker.					
In that are in Block, Hence of Pledge status as on reporting date. (July 92017)         In the provided that 100% hatcus shall not be applicable (as cell investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Flnancing requirements or pledged in the Store of Bank against Stori fam Financing areagements in such cases. The hatcut as provided in the Store		provided that shares have not been alloted or are not included in the investments of securities broker.			
Provided that 100% halicut shall not be applied in case of investment in those securities which are pleted in favor of Banks against Short Term financing arrangements or pletged in favor of Banks against Short Term financing arrangements or pletged in favor of Banks against Short Term financing arrangements in sucurities shall be applicable (August 25, 2017)           1.6         Investment in associaled companies/undertaking					
In favor of Banks against short term financing arrangements in sich cases, the haircut as provided in schedule lift the Requisitions in segrect of investment in socialities shall be applicable (August 25, 2017)           1.6         Investment in associated companies/undertaking		Provided that 100% haircut shall not be applied in case of investment in those securities which are			
schedule III of the Regulations in respect of investment in subaliaties         4           16         Investment in subsidiaties         4           17         Investment in subsidiaties         4           18         Investment in associated companies/undertaking         4           18         Investment in associated companies/undertaking         4           18         Statutory or regulatory depositiswith exchanges, clearing house or central depository         18,100,000           19         Margin deposits with exchange, and clearing house.         60,500,000         0         60,500,00           10         Interpret of markup acchange and clearing house.         60,500,000         0         60,500,00           11         Depositivith subfrade Intermediay against borrowed securities under SLB.         761,040         781,040           111         Depositivith authorized intermediay against borrowed securities under SLB.         781,040         958,549         0         958,549         0         958,549         0         958,549         0         958,549         0         958,549         0         0         0         114         Amounts sectivable against Report financing.         Nonuris sectivable against Report financing.         Nonuris sectivable against Report financing.         Nourise against Bero financing.         Nourise against Bero financing.					
1.7       Investment in associated companies/undertaking		schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
I. If listed 20% or V&R of each sequences accomposited by the Securites Exchange for respective securities which we is higher.       Image: Composite of the securities accomposite of the seccomposite of the securitis accomposite of the					
whichever is higher.         iii if unlisted. 100% of net value.           1.8         Statutory or regulatory depositswaic deposits with the exchanges, clearing house or central depository         18,100.000           0.7         Margin deposits with exchange and clearing house.         60,500.000         0         60,500.000           1.10         Deposits with exchange and clearing house.         761,040 <td>1.7</td> <td></td> <td></td> <td></td> <td></td>	1.7				
1.8       Statutory or regulatory depositivation depositivation depositivation depositivation of any other entity.       18,100,000       18,100,000         1.9       Margin depositivation exclusion depositivation exclusion depositivation depositivation exclusion		whichever is higher.			
or any other entity.     60.500.000     0     60.500.000       110     Deposit with exchange and clearing house.     60.500.000     0     60.500.000       1110     Cher deposits and prepayments     761.040     761.040       1121     Cher deposits and prepayments     958.549     0     958.5       1131     Dividends receivables     388.026     388.026       1131     Dividends receivables     0     0       1131     Dividends receivables     0     0       114     Amounts lead sputcheser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)     31.972,110     0       115     I. Short Term Loan To Employees. Leans are Secured and Due for repayment within 12 months     31.972,110     0       11.16     Receivables from clearing house or securities exchange(s)     0     0       1.10     Receivables from Clearing house or securities in all markets including MtM gains.     1       1.17     Receivables are against trading of securities in all markets including MtM gains.     1       1.18     I. Receivables are against margin financing. The	1.8		18 100 000	18 100 000	
1.10       Deposit with authorized intermediay against borowed securities under SLB.       761.040         1.11       Other deposits and prepayments       761.040         1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities       958,548       0         1.13       Dividends receivables       388,026       388,026         1.13       Dividends receivables       388,026       388,026         1.14       Amounts receivable against Repo financing.       781,040       0         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       0       0         1.15       I. Short Item Loan To Employees: Loans are Secured and Due for repayment within 12 months       31,972,110       0       31,972,110         1.16       Receivables other than trade receivables       0       0       0         1.17       Receivables from Clearing house or securities exchange(s)       0       0         1.10       Receivables on entitlements against trading of securities in all markets incluing MtM gains.       1       1         1.18       Receivables are against trading of securities in all market incluing MtM gains.       1       1         1.17       Receivables are against trading of securities in all market incluing MtM gains.       1 <td></td> <td>or any other entity.</td> <td></td> <td></td> <td></td>		or any other entity.			
1.11       Other deposits and prepayments       761,040       761,040         1.12       Accured Interest, profit or mark-up on amounts placed with financial institutions or debt securities       958,59       0       958,50         1.12       Accured Interest, profit or mark-up on amounts placed with financial institutions or debt securities       958,59       0       958,50         1.13       Dividends receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       0       0       31,972,110       0			60,500,000	0	60,500,000
etc.(Nil)         100% in respect of markup accrued on loans to directors, subsidiaries and other related parties         388,026           1.13         Dividends receivables         388,026           1.14         Amounts mechanismuch sequences         31,972,110           1.15         I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months         31,972,110         0         31,972,110           1.16         Receivables from Clearing house or securities exchange(S)         0         0         0           1.10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         3,822,182         3,822,182           1.17         Receivables from customers         1         1,028 receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haicut.         1         1           1.17         Receivables are against margin financing. The aggregate if (i) value of securities held in the blocked account after applying VAR based haicut.         1         1           1.17         Receivables are against margin financing. The aggregate if (i) value of securities hel	1.11	Other deposits and prepayments		761,040	
100% Intespect of markup accrued on loans to directors, subsidiaries and other related parties         388,026         388,026           1.13         Dividends receivables         0         0           1.14         Amounts receivable against Rep 6 financing, Amount pid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)         0         0         31,972,110         0         31,972,1	1.12		958,549	0	958,549
1.14       Amounts receivable against Repo financing.         1.14       Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) <ul> <li>i. Short ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months</li> <li>31,972,110</li> <li>i. Short ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months</li> <li>ii. Receivables from Clearing house or securities exchange(S)</li> <li>i. 100% value of cleims other than those on account of entitlements against trading of securities in all markets including MtM gains.</li> <li>ii. Receivables from clearing house or securities in all markets including MtM gains.</li> <li>ii. Receivables from customers</li> <li>ii. Crease receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut.</li> <li>iii. Incase receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut.</li> <li>iii. Incase receivables are against margin financing. The aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut.</li> <li>iii. Incase receivables are against margin financing. The aggregate of 0 as collateral after applying VAR based haircut.</li> <li>iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral after applying VAR based trading.</li> <li>iii. Net amount after deducting haircut</li> <li>iv. National after deducting haircut</li> <li>iv. Incase of other trade receivables are optime.</li> <li>iv. Crease of other trade receivables are optime.</li> <li>iv. Toase of other trade receivables are optiment.</li> <li>iv</li></ul>		100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	388,026	388,026	
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)           1.15         I. Short term Loan To Employees: Loans are Secured and Due for repayment within 12 months         31,972,110         0         31,972,11           1.16         Receivables other than trade receivables         0         0         0           1.16         Receivables from Clearing house or securities exchange(s)         0         0         0           1.16         Receivables from clearing house or securities and in markets including MtM gains.         0         0         0           1.17         Receivables from customers         1         1         1         1         1         3,822,182         3,822,182           market value of any securities against trading of securities in all markets including MtM gains.         1					
ii. Receivables other than trade receivables       0       0         1.16       Receivables from clearing house or securities exchange(s)       0         ii. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       3,822,182         arkets including MtM gains.       3,822,182         ii. Receivables from Customers       0         ii. case receivables are against argin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut.       0         i. Lower of net balance sheet value or value determined through adjustments.       0         iii. Incase receivables are against margin financing, 5% of the net balance sheet value.       0         iii. Net amount after deducting halicut       0         iii. Net amount after deducting halicut       0         value of securities purposes are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       0         iii. Net amount after deducting halicut       0       27,425,014       0         v. Incase of other trade receivables are owerdue, or 5 days or more, the aggregate of (i) the market value of securities purposed for customers and held in sub-accounts after applying VAR based halicut, walue of securities purposed for customers and held in sub-accounts after applying VAR based halicut, walue of securities pheld as collateral by the respective customer and (iii) the market value of securities purposed for cust	1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.16       Receivables from clearing house or securities exchange(s)       3,822,182         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       3,822,182         1.17       Receivables from Customers	1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	31,972,110	0	31,972,110
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       3,822,182         ii. Receivable on entitlements against trading of securities in all markets including MtM gains.          1.17       Receivables from customers          i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.          ii. Incase receivables are against excitites borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut          iii. Net amount after deducting haircut       iv. Case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities puch as collateral by the respective customer and (iii) the market value of securities puch as collateral after applying VAR based haircuts.       11,172,092       2,225,179       2,225,179 </td <td></td> <td></td> <td>0</td> <td>0</td> <td></td>			0	0	
markets including MtM gains.       ii. Receivable on entitlements against trading of securities in all markets including MtM gains.         1.17       Receivables from customers       ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       ii. In case receivables are against margin financing, 5% of the net balance sheet value.         ii. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iii. Net amount after deducting haricut       0         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0       27,425,014         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,179         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       55,973       55,973         1.18       Cash and Bank b	1.16	Receivables from clearing house or securities exchange(s)	0.000.000	0.000.000	
1.17       Receivables from customers       0       0         I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       0         I. Lower of net balance sheet value or value determined through adjustments.       0       0         II. Net amount after deducting haircut       0       0       0         III. Net amount after deducting haircut       0       27,425,014       0       27,425,014         III. Net amount after deducting haircut       0       27,425,014       0       27,425,014         III. Net amount after deducting haircut       0       27,425,014       0       27,425,014         IV. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,179         V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,1         V. Incase of add as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       55,973       55,973         V. 100% haircut in the case of amount receivable form relate			3,822,182	3,822,182	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral after applying VAR based haircut.       i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral after applying VAR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivables are explainst securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       0       27,425,014       0       27,425,014         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,179         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       vi. 100% haircut in the case of amount receivable form related parties.       55,973       55,973         1.18       Cash and Bank balances       79,057,567       0       79,057,567       0       79,057,567       0       207,038,034       0       207,038,034       0       207,038,034       0       207,038,034       0       207,038,034       0       207,038,034					
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)       market value of any securities deposited as collateral after applying VaR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       27,425,014         iii. Net amount after deducting haircut       0       27,425,014         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0       27,425,014         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,179         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       11,172,092       2,225,179       2,225,179         vi. 100% haircut in the case of amount receivable form related parties.       55,973       55,973       55,973         1.18       Cash and Bank balances       79,057,567       0       79,057,567       79,057,567         i. Bank balance-proprietory accounts       79,057,567       0       79,057,567       0       207,038,034       0       207,038,034       0       207,038,034       0       2	1.17				
i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0       27,425,0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,1         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       55,973       55,973         1.18       Cash and Bank balances       1       2       2,057,567       0       79,057,567         i. Bank balance-customer accounts       79,057,567       0       79,057,567       0       207,038,034       0       207,038,034		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       11,172,092       2,225,179         v. Incase of other trade receivables are operated by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       55,973       55,973         v. 100% haircut in the case of amount receivable form related parties.       55,973       55,973         i. Bank Balance-proprietory accounts       79,057,567       0       79,057,567         ii. Bank balance-customer accounts       207,038,034       0       207,038,034		5 1 115 5			
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0       27,425,014         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,179         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       55,973       55,973         1.18       Cash and Bank balances       1       1       1       1       1         i. Bank Balance-proprietory accounts       79,057,567       0       79,057,567       0       79,057,567         ii. Bank balance-customer accounts       207,038,034       0       207,038,034       0       207,038,034		ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0       27,425,0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,1         value of securities purchased for customers and held in sub-accounts after applying VAR based       11,172,092       2,225,179       2,225,1         vi. 100% haircuts (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       55,973       55,973         vi. 100% haircut in the case of amount receivable form related parties.       55,973       55,973         1.18       Cash and Bank balances       1       1       1         i. Bank Balance - proprietory accounts       79,057,567       0       79,057,567         ii. Bank balance- customer accounts       207,038,034       0       207,038,034					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       27,425,014       0       27,425,014         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179       2,225,179         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       0       27,425,014       0       2,225,179       2,225,179         vi. 100% haircut in the case of amount receivable form related parties.       55,973       55,973       55,973         1.18       Cash and Bank balances       1       79,057,567       0       79,057,567       0       79,057,567         ii. Bank balance-customer accounts       207,038,034       0       207,038,034       0       207,038,034		collateral upon entering into contract,			
iv. Balance sheet value       iv. Balance sheet value       iv. Balance sheet value         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       11,172,092       2,225,179         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       11,172,092       2,225,179         vi. 100% haircut in the case of amount receivable form related parties.       55,973       55,973         1.18       Cash and Bank balances       1       1         i. Bank Balance - proprietory accounts       79,057,567       0       79,057,567         ii. Bank balance - customer accounts       207,038,034       0       207,038,034			27 425 014		27 125 011
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       55,973       55,973         vi. 100% haircut in the case of amount receivable form related parties.       55,973       55,973         1.18       Cash and Bank balances i. Bank Balance-proprietory accounts       79,057,567       0       79,057,567         ii. Bank balance-customer accounts       207,038,034       0       207,038,034       0		iv. Balance sheet value		-	
securities held as collateral after applying VaR based haircuts.       55,973         vi. 100% haircut in the case of amount receivable form related parties.       55,973         1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       79,057,567       0         ii. Bank balance-customer accounts       207,038,034       0		value of securities purchased for customers and held in sub-accounts after applying VAR based	11,172,092	2,225,179	2,225,179
1.18         Cash and Bank balances         79,057,567         0         79,057,57           i. Bank Balance-proprietory accounts         207,038,034         0		securities held as collateral after applying VaR based haircuts.		FF 0.70	
i. Bank Balance-proprietory accounts         79,057,567         0         79,057,57,567           ii. Bank balance-customer accounts         207,038,034         0         207,038,034	1.18		55,973	55,973	
ii. Bank balance-customer accounts 207,038,034 0 207,038,0				0	79,057,567
iii. Cash in hand 25,000 0 25,000				0	207,038,034 25,000

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-NOV-20** 

Of M/s. Habib Metropolitan Financial Services Lt

Submission Date 15-DEC-2020 20:02:22

	Submission Date 13-DEC-2020 20.02.22		Page	2 Of 3
			_	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
		Pak Rupee	Adjustments	varue
1.19	Total Assets	565,293,012	131,627,540	526,905,230
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products	1,753,625	0	1,753,625
	iii. Payable to customers	210,604,402	0	210,604,402
2.2	Current Liabilities	0.000.001		0.000.001
	i. Statutory and regulatory dues ii. Accruals and other payables	2,922,361 13,382,339	0	2,922,361 13,382,339
	iii. Short-term borrowings	,,	-	,,
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities	316,958	0	316,958
	vii. Provision for bad debts viii. Provision for taxation	3,875,383	0	3,875,383
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	T-1-111-1-1111	232,855,068	0	232,855,068
2.5	Total Liabilites Ranking Liabilities Relating to :	232,033,000	0	232,033,000
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
24	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-NOV-20** 

of M &. Habib Metropolitan Financial Services Lt

Submission Date 15-DEC-2020 20:02:22

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeekeller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	332,437,944	131,627,540	294,050,162