

**Self-certification of U.S. Person Status**

(For Clients expected to Invest in Securities)

The Foreign Account Tax Compliance Act (FATCA) was signed into U.S. law on March 18, 2010. It is aimed at preventing U.S. taxpayers from using accounts held outside of the U.S. to evade taxes. Any financial institution that fails to comply with FATCA will face a 30% withholding tax on a wide range of U.S. sourced payments to its clients.

Under U.S. federal tax law, Habib Metropolitan Financial Services Limited is required to request certain taxpayer information from persons who maintain an account at Habib Metropolitan Financial Services Limited (whether such persons are U.S. taxpayers or not). Information collected will be used solely to fulfill Habib Metropolitan Financial Services Limited's requirements under FATCA and will not be used for other purpose.

Account Holder

(The holder is the person in whose name the account is held. If in name of more than one holder, each holder must fill out and sign separate forms)

**Name****First Name**

\_\_\_\_\_

\_\_\_\_\_

**Address of domicile: Street / No.**

\_\_\_\_\_

**Date of birth (DD/MM/YYYY)**

\_\_\_\_\_

**Nationality** (list of nationalities, if more than one)

\_\_\_\_\_

**Taxpayer Identification Number (if any)**

\_\_\_\_\_ (hereinafter referred to as the client)

**'Non-U.S. Person' or 'U.S. Person',**

the undersigned Client hereby makes and confirms the following declarations to the HMFS:

**1. Declaration of Status (individuals)**

With regard to the above relationship, please check the appropriate box:

**a) Are you a U.S. citizen? (sole or dual citizenship)** Yes  No**b) Are you a 'U.S. resident alien'?** Yes  No**Lawful permanent resident, e.g., 'green card' holder, or anyone who meets the 'substantial physical presence' test**(Physical presence in the United States on at least 31 days during the current year, and 183 days during the 3 year period that includes the current year and the 2 years immediately before. For details in terms of how to count days for these purposes, please consult the IRS website: <http://irs.gov/taxtopics.tc851.html>)**c) Were you born in the United States or a U.S. territory?** Yes  No

If you were born in the United States or a U.S. territory but nonetheless believe that you are not a 'U.S. Person' under U.S. tax principles, please submit a copy of your Certificate of Loss of Nationality, together with a W-8Ben form and a copy of your non-US passport.

**d) Are you a 'U.S. Person' under U.S. tax principles for any other reason?**  Yes  No  
(e.g., dual residency, spouse filing jointly, long-term permanent residency in the U.S., others)

Please state the reason:

**2. Required documentation**

If any of the questions above is answered with yes, Habib Metropolitan Financial Services Limited will request the Client to immediately complete the IRS W-9 Form confirming the US Tax status. The undersigned Client hereby consents that in such a case, relevant account and/or personal information is released to the US Tax authorities.

**3. Beneficial Ownership**

The undersigned Client hereby declares that either he/she is the beneficial owner according to U.S. tax principles of the assets and income to which this form relates or, if another (additional) beneficial owner(s), no such other beneficial owner is a 'U.S. Person' under U.S. tax principles for whom a 'Yes' box would have to be checked under point 1. above.

**4. Change of Circumstances in Status as Non-U.S. Person**

For the duration of the contractual relationship with the HMFS, the undersigned Client undertakes to notify the HMFS, at his/her own initiative and within 30 days, if his/her status (and/or the status of any other (additional) beneficial owner of the relationship) as a Non-U.S. Person under U.S. tax principles changes to the status of a U.S. Person under U.S. tax principles.

**5. Subsequent discovery of Status as a U.S. Person / agreement to sell U.S. Securities under deduction of U.S. Backup Withholding Tax**

If for whatever reason, this Declaration is or becomes inaccurate after its filling with the HMFS due to a change in the circumstances regarding the Client's (or another beneficial owner's) status from a Non-U.S. Person to a U.S. Person, and/or late discovery of the fact that, notwithstanding this Declaration, the Client (or another beneficial owner) is or has become a U.S. Person under U.S. tax principles, and if, at that time, the Client does not agree to file a valid IRS Form W-9 with the HMFS, the undersigned Client hereby irrevocably instructs the HMFS to sell all U.S. investments falling hereunder in the Client's safekeeping account(s), if any, with the HMFS, following standard business practice and without prior notice, and to deduct and to remit to the IRS the relevant withholding tax at the then applicable rate on the gross proceeds of such investments, as provided for under the Qualified intermediary Agreement and FFI Agreement concluded between the HMFS and the U.S. Internal Revenue Service.

The undersigned Client expressly and without any limitation herewith waives any claims for damages and will indemnify the HMFS for any liability in connection with the sale of his/her U.S. investments pursuant to the application of this provision.

\_\_\_\_\_  
Place, date

\_\_\_\_\_  
Client's Signature